FORM PTO 1390 (REV 5-93) US DEPARTMENT OF COMMERCE T AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2002 0056A

U.S. APPLICATION NO. ("I'm. 1"0"/ 031618

International Application No. PCT/JP00/04888

International Filing Date July 21, 2000

Priority Date Claimed July 21, 1999

Title of Invention

POLYMER CONTAINING TRIPHENYLBORON AND USE THEREOF

Applicant(s) For DO/EO/US

Masaaki YOSHIMARU, Masanori KOHARA, Yoshifumi SHIBUYA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [X] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT A
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An <u>unexecuted</u> oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT B
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT C
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment. ATTACHMENT D Substitute Specification, Claims and Abstract (56 pages)

 [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] Other items or information:

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

JC13 Rec'd PCT/PTU 22 JAN 2002 U.S. APPLICATIONING INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NO. NEW PCT/JP00/04888 2002 0056A 15. [X] The following fees are submitted CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee nor international search fee paid to USPTO International preliminary examination fee not paid to USPTO but international search International preliminary examination fee paid to USPTO but claims did not satisfy provisions International preliminary examination fee paid to USPTO and all claims satisfied provisions of \$890.00 ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Claims Number Filed Number Extra Rate Total Claims -20 =X \$18.00 Independent Claims X \$84.00 \$ Multiple dependent claim(s) (if applicable) + \$280.00 \$ \$890.00 **TOTAL OF ABOVE CALCULATIONS =** Small Entity Status is hereby asserted. Above fees are reduced by 1/2. \$890.00 SUBTOTAL =Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$890.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$890.00 TOTAL FEES ENCLOSED = Amount to be refunded Amount to be charged a. [X] A check in the amount of \$890.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 19. CORRESPONDENCE ADDRESS Warren M. Cheek, Jr. Registration No. 33\367



PATENT TRADEMARK OFFICE

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January 22, 2002

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